

Computing QoE-Relevant Adaptive Video Streaming Metrics Using Discrete-Time Analysis

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Abstract—HTTP Adaptive Streaming (HAS) is the de-facto standard for video delivery over the Internet. Splitting the video clip into small segments and providing multiple quality levels per segment allows the client to dynamically adapt the quality to current network conditions. The performance of HAS, and as a consequence the user Quality of Experience (QoE), is influenced by a multitude of parameters. This includes adjustable settings like quality switching thresholds, the initial buffer level, or the maximum buffer, as well as video characteristics like segment duration or the variation of segment sizes along the video. Finding an appropriate tuning of those parameters still remains a challenge, which is mainly tackled by performing testbed measurements or simulative analysis. Due to the large problem space and the complex interactions of the involved influence factors, a holistic comparison of a multitude of parameter settings is extremely time intensive. To address this problem, we propose to enhance a GI/GI/1 system with *pq*-policy, which models video buffer behavior, with the capability to switch between different quality levels. This allows to investigate all relevant QoE influence factors for HAS-based video delivery. In a first evaluation, we illustrate the impact of different quality switching thresholds on the QoE influence factors for varying network conditions.

Index Terms—Adaptive video streaming; QoE; DASH; Discrete-time analysis; Modeling;

I. INTRODUCTION

Online video streaming has become the prevalent way of video consumption and a large fraction of the global Internet traffic can be attributed to on-demand video content [1]. MPEG dynamic adaptive streaming over HTTP (DASH) [2] is a widely adopted standard for Internet video delivery and allows the adaptation of the video quality to the available throughput and client capabilities. The content is split typically into segments of 2 to 10 seconds length and encoded into multiple quality levels [3]. The properties of the segments are summarized in an XML-based media presentation description (MPD) file. The DASH client requests the MPD file and afterwards downloads the segments in a quality dictated by the client’s internal quality adaptation strategy.

The adaptation strategy considers a combination of parameters to decide about the next segment’s quality, so to maximize the Quality of Experience (QoE) of the user. New strategies are coming up regularly and are being discussed in the research community [4]–[11]. They differ with regard to their quality

selection process, which allows them to improve the played back video quality, while reducing video stallings. Alongside the adaptation strategies, thresholds for the initial buffer time or the segment duration have a high impact on the QoE [12].

So far, comparisons between quality adaptation strategies or player- and coding-relevant parameters have mainly been conducted using measurements in dedicated testbeds or by service providers within their infrastructure. Due to the large problem space, it is time consuming to do holistic comparisons between different mechanisms and parameter settings. Instead, such comparisons are done for specific use-cases which are considered to be relevant. Recently a couple of queueing-based models [13], [14] have been developed. These models are based on certain assumptions regarding the adaptation strategy and other relevant parameters, but allow to easily compute QoE metrics like the stalling probability for a large set of different network scenarios and parameter settings. However, these models do not take quality switching into account and thus do not allow to compute further QoE relevant metrics, like the switching frequency and amplitude, or the average video quality. Hence, there is currently no model which allows to take different video qualities, segment durations, and network conditions as input factors into account and to compute all QoE-relevant HAS metrics.

To close this gap, we enhance a discrete-time model from literature [13] by including explicit quality switching based on the state of the video buffer. Firstly, we present a formal discrete-time model for adaptive streaming systems. Secondly, we implement this model and perform an investigation of the impact of the switching thresholds on the outlined QoE metrics for different network throughput variations.

The rest of the work is structured as follows. Section II introduces DASH, presents the QoE-influencing DASH parameters, and summarizes related work on modeling DASH behavior. Section III describes the proposed model and its computation of QoE-relevant metrics. We perform an exemplary evaluation applying the proposed model in Section IV. Section V concludes the paper.

II. BACKGROUND AND RELATED WORK

A. Dynamic Adaptive Streaming over HTTP

Dynamic Adaptive Streaming over HTTP (DASH) enables the adaptation of video quality to current network conditions throughout the playback. The video is split in small segments

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of equal length, typically, the segment durations range between 2 and 10 seconds. Each of the video segments is available in several representations, which differ in terms of resolution and encoding bitrate. The Media Presentation Description (MPD) lists all available qualities, the segments' duration, and the URL to the specific video segments. The video client downloads the MPD and runs a DASH heuristic, which decides about the next segment's quality to request. This is either done based on the current video buffer level or based on throughput measurements. Accordingly, one distinguishes between buffer-based and throughput-based DASH heuristics. Some hybrid adaptation logics consider both, the client's buffer and the throughput. The heuristics decide so to maximize the playback quality, whilst simultaneously avoiding rebuffering.

B. DASH Parameters and QoE Influencing Factors

Video re-buffers, i.e. video stallings, have a strong negative effect on a user's QoE. Hofeld et al. [15] showed that users rather accept a larger initial waiting time than video interruptions during playback. Besides the waiting times, video QoE is influenced by the playback quality throughout the video, as well as the frequency and amplitude of quality switches [3]. State-of-the-art HAS systems aim at tuning certain parameters, so to maximize a user's satisfaction with video services. One of these parameters to influence HAS performance is the initial buffer threshold. It determines the minimum video time that needs to be buffered in order to start the playback. This parameter constitutes a trade-off between initial waiting time and the probability of video stallings. A low initial buffer threshold allows to quickly start playing, but stallings are likely to occur due to the small buffer. Another factor is the placement of quality switching thresholds. These thresholds describe the value of buffer state or throughput that trigger to increase or decrease the quality level. Aggressive heuristics implement low thresholds in order to deliver high quality, hazarding the consequence of an increased stalling probability. The maximum buffer limits the video time stored at the client. The more a client is allowed to buffer, the lower is its risk to run into video interruptions. However, large buffers decrease the promptness of quality adaptations and increase the wasted traffic when the user aborts the video. Alongside these adjustable parameters, the logic and techniques applied in HAS adaptation heuristics influence the performance. For example, the heuristics implement different techniques to determine the next quality, i.e. approaches based on reinforcement learning [16] or game-theory [5] versus simply choosing the highest bitrate below the measured throughput [17]. Apart from the heuristic- and player-specific parameter settings, there are three more factors, which are related to th affecting the streaming quality. These are related to the preparation of the video content. The first one is the duration of video segments. Segments of short duration allow for a more fine-grained quality adaptation, however, the shorter the segments, the lower is the encoding efficiency [18]. The second one is the number of available video representations. While a high number of video qualities on the one hand facilitates less

noticeable quality switches and a very fine-grained adaptation, it imposes high storage and encoding costs for the content provider on the other hand. The third one is the bitrate used throughout the encoding of one quality layer. While a constant bitrate results in a similar size for all segments within one quality layer, the visual quality might be degraded in high motion scenes.

In order to be able to set these parameters optimally, their impact and interactions must be well understood. Measurements and simulation often do not scale, due to the large problem space and hence are only able to cover specific scenarios and use-cases. Analytical models constitute a good method to do holistic evaluations to study the impact of certain parameters on QoE influencing factors.

C. Models for HAS Behavior

Efforts have been made towards modeling HAS behavior using Markov models. $M/M/1/\infty$ models, for example, work on a high level of abstraction, however, they allow to easily compute relevant metrics. Hofeld et al. [14] presents such a model, which applies a pq -policy. Thereby, buffer values of p and q constitute lower and upper bounds for segment requests, resulting in the typical HAS on-off behavior. The model is applied to investigate the impact of user profiles on the QoE of adaptive streaming. The authors use mean-value analysis to appropriately dimension the video buffer so to meet the trade-off between initial delay and buffered time for different user characteristic, e.g. watching a complete video versus browsing videos.

De Cicco et al. [19] formalizes the behavior of an Akamai video streaming session. The system is modeled as a hybrid automaton, using upon others the video level, the current rate, and the playout buffer as state variables. Using their model, the authors show that stalling can be avoided by properly tuning switching thresholds and that a proper setting of the ratio between idle states and segment downloading can avoid large buffering, which results in network resource wasting in case the user aborts the video.

Burger et al. [13] models the video buffer as a $GI/GI/1$ queue with pq -policy using discrete time-analysis. Thereby, the video portion buffered at the client is considered as the amount of unfinished work in the system. The playback is the service time, i.e. draining the buffer. It is assumed that the inter-arrival times, which correspond to the segments' download durations, are independent. The model allows to evaluate the impact of video characteristics (e.g. segment duration, bitrate variation), network dynamics, and buffer policies on the streaming performance. However, this work does not model the quality switching behavior of DASH. Admittedly, the model allows for evaluating metrics like stalling probability and average buffer, but not for evaluating those QoE influencing factors that are related to video quality, i.e., the average quality or the number and amplitudes of quality switches. Correspondingly, the model in it its current state does not yet allow to examine the impact of the number of quality levels, or the setting of quality switching thresholds, on HAS performance.

We can define the disjoint sets Q_i , $i = 1, \dots, N$ representing all buffer levels that result in the download of the next segment in quality i .

$$Q_1 = \{0, 1, \dots, qt_2 - 1\}$$

$$Q_i = \{qt_i, qt_i + 1, \dots, qt_{i+1} - 1\}, \quad i = 2, \dots, N - 1$$

$$Q_N = \{qt_N, \dots\}$$

The set Q_i contains the buffer levels upon which quality i is requested.

Following the notation of [13], we introduce the conditional random variables

$$\tilde{U}_{n,i} = U_n | U_n \in Q_i, U_n < q \quad i = 1, \dots, N$$

$$\tilde{U}_{n,N,1} = U_n | U_n \in Q_N, U_n \geq q$$

and

$$U_{n+1,i} = U_{n+1} | U_n \in Q_i, U_n < q \quad i = 1, \dots, N$$

$$U_{n+1,N,1} = U_{n+1} | U_n \in Q_N, U_n \geq q$$

with corresponding distributions $\tilde{u}_{n,i}, \tilde{u}_{n,N,1}, u_{n+1,i}$, and $u_{n+1,N,1}$. The first two probability mass functions are the normalized restriction of $u_n(k)$ to a certain range of buffer levels, each of which corresponds to one quality.

$$\tilde{u}_{n,i}(k) = P(U_n = k | U_n \in Q_i, U_n < q), \quad i = 1, \dots, N$$

$$\tilde{u}_{n,N,1}(k) = P(U_n = k | U_n \in Q_N, U_n \geq q)$$

These distributions can be easily calculated. With $qt_1 := 0$, we get for $i = 1, \dots, N - 1$:

$$\tilde{u}_{n,i}(k) = \frac{\sigma_{qt_i}(\sigma^{qt_{i+1}}(u_n(k)))}{P(qt_i \leq U_n < qt_{i+1})}.$$

For $i = N$, we have:

$$\tilde{u}_{n,N}(k) = \frac{\sigma_{qt_N}(\sigma^q(u_n(k)))}{P(qt_N \leq U_n < q)}$$

$$\tilde{u}_{n,N,1}(k) = \frac{\sigma_q(u_n(k))}{P(U_n \geq q)},$$

where we use the σ -operator that truncates the distribution to a certain range.

$$\sigma_m(u(k)) = \begin{cases} u(k), & k \geq m \\ 0, & k < m \end{cases}$$

$$\sigma^m(u(k)) = \begin{cases} u(k), & k < m \\ 0, & k \geq m \end{cases}$$

With the sweep operator π we define another operator that takes the probability mass below 0 or above p and adds it to 0 or p respectively.

$$\pi_0(u(k)) = \begin{cases} u(k), & k > 0 \\ u(0) + \sum_{i < 0} u(i), & k = 0 \\ 0, & k < 0 \end{cases}$$

$$\pi^p(u(k)) = \begin{cases} u(k), & k < p \\ u(p) + \sum_{i \geq p} u(i), & k = p \\ 0, & k > p \end{cases}$$

We can define a similar operator for a random variable X :

$$\Pi_0(X) = \begin{cases} X, & X \geq 0 \\ 0, & X < 0 \end{cases}$$

We use π_0 or Π_0 , since negative buffer levels are not possible.

Next, we derive $U_{n+1,i}$ and $U_{n+1,N,1}$ and their respective probability mass functions.

$$U_{n+1,i} = \Pi_0(\tilde{U}_{n,i} - A_n^{(i)}) + B_n, \quad \text{for } i = 1, \dots, N.$$

The buffer level $U_{n,i}$, given that U_n is in quality range i , is reduced by the corresponding inter-arrival time $A_n^{(i)}$. Since the buffer level can't drop below zero, we apply the Π_0 operator to the result. Then the n -th segment arrives and its playtime B_n is added to the buffer level.

The probability mass function of $U_{n+1,i}$ is given by:

$$u_{n+1,i}(k) = (\pi_0[\tilde{u}_{n,i}(k) * a_n^{(i)}(-k)] * b_n(k)).$$

If we have $qt_N \leq U_n$ (i.e. the buffer level corresponds to the highest quality), U_n is either below the buffering-pause threshold q or it exceeds the threshold q . The first case is included in the previous formula with $i = N$. In the second case, the segment request is paused until the buffer level falls below threshold p . $U_{n+1,N,1}$ is then given by:

$$U_{n+1,N,1} = \Pi_0(p - A_n^{(N)}) + B_n$$

with distribution:

$$u_{n+1,N,1}(k) = (\pi_0[\pi^p(\tilde{u}_{n,N,1}(k)) * a_n^{(N)}(-k)] * b_n(k)).$$

From the above equations, we derive with $qt_1 := 0$:

$$u_{n+1}(k) = \pi_0 \left[\sum_{i=1}^{N-1} (\sigma_{qt_i}(\sigma^{qt_{i+1}}(u_n(k))) * a_n^{(i)}(-k)) + \right.$$

$$\left. \sigma_{qt_N}(\sigma^q(u_n(k))) * a_n^{(N)}(-k) + \right.$$

$$\left. \pi^p(\sigma_q(u_n(k))) * a_n^{(N)}(-k) \right] * b_n(k).$$

Additionally, we are interested in the buffer level not directly after a segment arrives but before. Furthermore, we allow the buffer level to be negative, which means we omit the operator Π_0 . This corresponding random variable is denoted by \hat{U}_n . The distribution is given by:

$$\hat{u}_n(k) = \sum_{i=1}^{N-1} (\sigma_{qt_i}(\sigma^{qt_{i+1}}(u_n(k))) * a_n^{(i)}(-k)) +$$

$$\sigma_{qt_N}(\sigma^q(u_n(k))) * a_n^{(N)}(-k) + (\pi^p(\sigma_q(u_n(k))) * a_n^{(N)}(-k)).$$

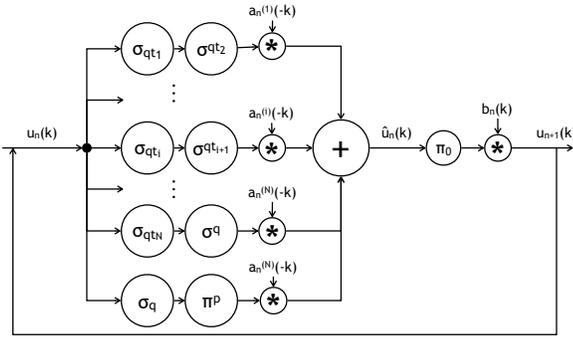


Fig. 2. Computational diagram of the buffer model

B. Metrics

In the following, we present the computation of the QoE-relevant metrics. For the sake of brevity, we limit the description on the steady state probabilities. Thereby, $u(k)$, $\hat{u}(k)$, $a(k)$, $b(k)$ denote the steady state distribution of the corresponding random variables. We define $qt_1 := 0$ and $qt_{N+1} := q$ to shorten the notation.

Stalling probability A stalling event occurs, when the buffer is empty and the next segment hasn't arrived yet. We can calculate the stalling probability by summing up the probability mass of all negative buffer levels of $\hat{u}(k)$.

$$p_{st} = \sum_{i < 0} \hat{u}(i) \quad (3)$$

Stalling duration The stalling duration corresponds to the time that passes between the buffer runs empty and the arrival of the next segment.

$$L = - \sum_{i < 0} i \cdot \hat{u}(i) \quad (4)$$

Average buffer level To calculate the average buffer level, $u(k)$ and $\pi_0(\hat{u}(k))$ has to be taken into account, since $u(k)$ is the buffer level after segment arrival and $\hat{u}(k)$ is the buffer level immediately before a segment arrives. Instead, we calculate the average buffer level upon segment arrival by the following formula, where we sum over all possible buffer levels i .

$$\bar{u} = \sum_i i \cdot u(i) \quad (5)$$

Switching amplitude A switch of amplitude j means that the quality of segment n is j steps lower or higher than the quality of segment $n-1$. The probability for a switch of amplitude j for $j = 0, \dots, N-1$ is given by the following formula, where we define $Q_i = \emptyset$ for $i < 1$ or $i > N$.

$$p_{amp}(j) = \sum_{i=1}^N \sum_{k \in Q_{i+j} \cup Q_{i-j}} (\pi_0[\sigma_{qt_i}(\sigma^{qt_{i+1}}(u(k))) * a^{(i)}(-k)] * b(k)) + \sum_{k \in Q_{N+j} \cup Q_{N-j}} (\pi_0[\pi^P(\sigma_q(u(k))) * a^{(N)}(-k)] * b(k)) \quad (6)$$

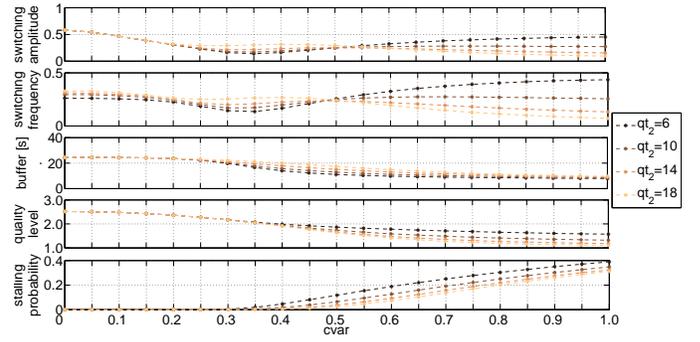


Fig. 3. Impact of quality switching threshold qt_2 on QoE-IFs for different $cvar$ of the available bandwidth. All metrics along the y-axis denote the average value.

Switching probability The probability to observe a switch from one quality to another when the next segment arrives can be calculated by:

$$p_{switch} = \sum_{i=1}^N \sum_{k \notin Q_i} (\pi_0[\sigma_{qt_i}(\sigma^{qt_{i+1}}(u(k))) * a^{(i)}(-k)] * b(k)) + \sum_{k \notin Q_N} (\pi_0[\pi^P(\sigma_q(u(k))) * a^{(N)}(-k)] * b(k)). \quad (7)$$

Average quality The average quality, where the quality is between 1 and N , is given by:

$$\bar{Q} = \sum_{i=1}^N i \sum_{k \in Q_i} u(k). \quad (8)$$

IV. MODEL APPLICABILITY TO STUDY THE INFLUENCE OF DASH PARAMETERS

In the following, we exemplarily illustrate the model's applicability by studying the impact of the quality switching threshold on relevant QoE influence factors. We consider three quality levels, whereby the threshold to switch to quality layer 2, i.e. qt_2 , is set to 6, 10, 14, and 18 seconds. The threshold for requesting the third quality level, i.e. qt_3 , is set to 25 seconds and does not change throughout the study. The video segment duration is set to 5 seconds. For the bitrates of the three quality layers, it holds $q_1 = 0.7 \cdot q_2$ and $q_3 = 1.3 \cdot q_2$, whereby the average bitrate of quality level 2 is 5000 kbps with a standard deviation of 500 kbps. We set a bandwidth provisioning factor to $a = 1.5$, i.e. the available bandwidth is the 1.5-fold of the lowest quality's bitrate. The coefficient of variation of the available bandwidth ($cvar$) ranges from 0 to 1 in steps of 0.05.

The plots in Figure 3 illustrate, from top to bottom, the average amplitude of quality switches, the frequency of quality changes, the average video buffer, the average quality level, and the stalling probability.

For $cvar = \{0, 0.05, 0.1, 0.15, 0.2\}$, the behavior is similar for all threshold configurations of qt_2 . For $cvar$ ranging between 0.25 and 0.5, the average buffer values start to drift apart, whereby a higher threshold qt_2 indicates a larger buffer. Within this region ($cvar$ between 0.25 and 0.5), it is also

observable that $qt_2 = 6$ shows the lowest switching frequency and amplitude, whereby $qt_2 = 18$ shows the highest values for these metrics. This is due to the fact that the average buffer, when setting qt_2 to 18 seconds, lies between 22.5 seconds and 17.39 seconds. Hence, the average buffer is close to the switching threshold of $qt_2 = 18$, and as a consequence, quality switches are triggered with higher probability. As the buffer constantly decreases with increasing values of $cvar$, the buffer approaches the values of the lower switching thresholds. As a result, beginning with $cvar = 0.55$, the switching frequency increases with decreasing qt_2 .

In general, the buffer shrinks with increasing bandwidth variations. Accordingly, the probability of stallings increases, especially in cases where quality is rather adapted in an aggressive ($qt_2 = 6$), than in a conservative manner ($qt_2 = 18$). Although small quality thresholds bring a high quality on average, they should be avoided if the network is likely to show high variability. The results point out that higher values for threshold qt_2 can cushion network dynamics and lead to less stalling, while they provide a similar quality as the lower thresholds for qt_2 in constant scenarios, i.e. $cvar = 0$.

The threshold qt_2 determines the number of video interruptions in networks with high variability, but at the same time has hardly impact on the average quality in scenarios with static network conditions. Accordingly it is generally better to set the first threshold to a larger value and thus increase the quality in a quite conservative manner.

This first proof-of-concept study shows how the model can be used to optimize quality switching thresholds for a given set of quality levels in a QoE-centric manner. It is essential to adjust configuration-specific parameters, e.g. buffer boundaries for pausing/resuming segment requests or quality thresholds, to the expected network conditions as well as to the given content properties, such as the number of available qualities or their bitrate characteristics. With the proposed generic model, which supports any distributions and configurations, parameters can be tuned to the underlying conditions without the need for costly measurements or simulations.

V. CONCLUSION

Due to the high complexity of adaptive video streaming systems, it is cumbersome and time-consuming to perform a holistic analysis of all involved parameters. Hence, theoretical models providing an appropriate abstraction, while still replicating the adaptive video streaming behavior, are required. In this work, we extend a GI/GI/1 buffer model with pq -policy by including the switching behavior of adaptive video streaming systems, so to capture all relevant QoE metrics for adaptive video streaming systems. As a first step, we studied the interplay of switching thresholds and network conditions in terms of QoE-IFs. The evaluations reveal the dependence of this thresholds with respect to the network characteristics and clearly show how the thresholds can be used to tune the trade-off between a high video quality and stalling.

For future work, we plan to validate the model with testbed-based measurements to outline the significance of the model.

Furthermore, we will extensively study the interaction and influence of different network and video characteristics, as well as player configurations on the HAS performance. Using the standardized QoE model P.1203 [20], we plan to tune all adjustable parameters so to optimize the overall user perceived quality for different network scenarios and content characteristics.

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